

Annex 1: Guiding Questions for State Representatives in Informal Expert Group Meeting

1. Licensing and pre-delivery phase

- a) What are the primary challenges for States in complying with transfer licensing requirements?
- b) How, if in any way, could licensing requirements be improved to (i) support responsible use and (ii) prevent diversion?

2. Transport and transshipment

- a) What are the primary challenges related to the transit of weapons?
- b) How, if in any way, could procedures be improved to support effective and well-informed transfers?

3. Diversion

- a) How, if in any way, could procedures be improved to prevent diversion, including reference to documentation, routing, cooperation etc.?
- b) What in your view are the most important steps that can be taken to increase knowledge and awareness about preventing diversion among both industry and States?
- c) What are the most common challenges faced during the post-delivery phase of an arms transfer in preventing diversion?

4. Defining end-use and end-users

- a) How could end-use and ultimate end-users be better identified by sharing more information?
- b) What level of knowledge and communication should exist between the State and industry when assessing end use(r) assurance clauses?

5. Cooperation

- a) What are the respective roles and responsibilities of States and industry actors in preventing diversion in a conventional arms transfers? In what areas might the roles and responsibilities between States and industry actors need to be further clarified?
- b) How could States be of better assistance for industry actors when things “go wrong”?
- c) How important is post-delivery cooperation and how should such measures apply beyond delivery confirmation and/or verification?